

MEETING: AUDIT AND GOVERNANCE COMMITTEE

DATE: Wednesday 17th March, 2021

TIME: 3.00 pm

VENUE: Remote Meeting

Member

Councillor Robinson (Chair)
Councillor Roche (Vice-Chair)
Councillor Brennan
Councillor Cluskey
Councillor McGinnity
Councillor O'Hanlon
Councillor Pugh
Councillor John Sayers
Councillor Shaw
Councillor Sir Ron Watson

Substitute

Councillor Bradshaw
Councillor Carr
Councillor Dowd
Councillor Roscoe
Councillor Yvonne Sayers
Councillor Irving
Councillor Evans
Councillor Spencer
Councillor Lewis
Councillor Brough

COMMITTEE OFFICER: Ruth Appleby
Democratic Services Officer
Telephone: 0151 934 2181
E-mail: ruth.appleby@sefton.gov.uk

If you have any special needs that may require arrangements to facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.

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AGENDA

1. Apologies for absence

2. Declarations of Interest

Members are requested at a meeting where a disclosable pecuniary interest or personal interest arises, which is not already included in their Register of Members' Interests, to declare any interests that relate to an item on the agenda.

Where a Member discloses a Disclosable Pecuniary Interest, he/she must withdraw from the meeting by switching their camera and microphone off during the whole consideration of any item of business in which he/she has an interest, except where he/she is permitted to remain as a result of a grant of a dispensation.

Where a Member discloses a personal interest he/she must seek advice from the Monitoring Officer or staff member representing the Monitoring Officer by 12 Noon the day before the meeting to determine whether the Member should withdraw from the meeting, during the whole consideration of any item of business in which he/she has an interest or whether the Member can remain in the meeting, or remain in the meeting and vote on the relevant decision.

3. Minutes of the Meeting held on 16 December 2020 (Pages 7 - 12)

4. Statement of Accounts 2019/2020 (To Follow)

Report of the Executive Director of Corporate Resources and Customer Services

5. Corporate Risk Management (Pages 13 - 38)

1. Report of the Executive Director of Corporate Resources and Customer Services

2. Presentation on Cyber Security Risk, by the Senior Manager, ICT & Digital

6. Audit and Governance Terms of Reference (Pages 39 - 52)

Report of the Executive Director of Corporate Resources and Customer Services

7. Members Code of Conduct (Pages 53 - 74)

Report of the Executive Director of Corporate Resources and

Customer Services

8. **Revisions to Employee Code of Conduct** (Pages 75 - 110)

Report of the Executive Director of Corporate Resources and Customer Services

9. **Amendments to the Constitution - Highways** (Pages 111 - 116)

Report of the Executive Director of Corporate Resources and Customer Services

10. **Financial Management Code** (Pages 117 - 142)

Report of the Executive Director of Corporate Resources and Customer Services

11. **Treasury Management Position to January 2021** (Pages 143 - 152)

Report of the Executive Director of Corporate Resources and Customer Services

12. **Risk and Audit Performance Report** (Pages 153 - 192)

Report of the Executive Director of Corporate Resources and Customer Services

13. **Internal Audit Charter and Internal Audit Plan 2021/22** (Pages 193 - 224)

Report of the Executive Director of Corporate Resources and Customer Services

14. **Proposed changes to the Constitution in relation to Planning Committee** (Pages 225 - 232)

Report of the Executive Director of Corporate Resources and Customer Services

15. **Exclusion of Press and Public**

The following report is **not** Exempt/Confidential, but includes appendices which contain exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A under Section 100A(4) of the Local Government Act 1972. Namely:

- Item 16 –Appendices 1 and 2

Members are therefore requested to indicate whether or not

they wish to discuss any matters referred to in the exempt appendices and accordingly, consider passing the following resolution:

That, under Section 100A(4) of the Local Government Act, 1972, the press and public be excluded from the meeting for any items of business which might involve the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Act. The Public Interest Test has been applied and favours exclusion of the information from the Press and Public.

16. Write Off of Irrecoverable Debts > £10,000

(Pages 233 -
254)

Report of the Executive Director of Corporate Resources and Customer Services